

FISCAL WISDOM WEALTH MANAGEMENT BUSINESS CONTINUITY PLAN (2026)

UPDATED: APRIL 18, 2026

DEDICATED TO ENHANCING THE CLIENT EXPERIENCE

Fiscal Wisdom Wealth Management
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Fiscal Wisdom Wealth Management has developed a Business Continuity Plan on how we will respond to events that significantly disrupt our business. Since the timing and impact of disasters and disruptions is unpredictable, we will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan.



FISCAL WISDOM
WEALTH MANAGEMENT



Contacting Us - If you cannot contact us as you usually do following a significant business disruption, please call us at (860) 673-1942, email us at info@fiscalwisdom.com or visit our website at www.fiscalwisdom.com.

Our Business Continuity Plan – We plan to quickly recover and resume business operations after a significant business disruption, including disruptions caused by cybersecurity incidents, and respond by safeguarding our employees and property, making a financial and operational assessment, protecting the firm's books and records, and allowing our customers to transact business. In short, our business continuity plan is designed to permit our firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption.

Our business continuity plan addresses:

- disruptions affecting third-party service providers, including custodians, technology vendors, and other critical service providers;
- data backup and recovery;
- mission-critical systems; financial and operational assessments;
- alternative communications with customers, employees, and regulators;
- alternate physical location for employees; critical suppliers, contractors, banks, and counterparties; regulatory reporting; and
- providing clients with prompt access to their funds and securities, including through coordination with custodians if we are unable to continue operations.

Varying Disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to only our firm or a building housing our firm, we will transfer our operations to a local site when needed and restore access to critical systems and data as quickly as reasonably practicable. In a disruption affecting our business district, city, or region, we will transfer our operations to a site outside of the affected area and recover and resume business as quickly as reasonably practicable. In either situation, we plan to continue in business, transfer operations to our clearing firm if necessary, and notify you through our website www.fiscalwisdom.com, email communications, or our customer emergency number at (860) 673-1942. If the significant business disruption is so severe that it prevents us from remaining in business, we will assure our customers' prompt access to their funds and securities.

For more information – If you have questions about our business continuity planning, you can contact us at (860) 673-1942 info@fiscalwisdom.com.